

Making Sense of Compliance

Why compliance matters

Employers that sponsor employee benefit plans are required to comply with regulatory compliance obligations. Fiduciary regulations hold the plan sponsor personally liable to perform duties in accordance with the law.

Compliance missteps can lead to **devastating fines & penalties** in the case of an audit or statutory lawsuit initiated by a plan participant. **Compliancedashboard® 401(k)** helps employers fulfill their 401(k) compliance obligations by giving them the information they need when they need it in an interactive email and follow-up system.

- ✓ Reduce workload
- ✓ Lower risk
- ✓ Relieve anxiety
- ✓ Increase competency

How Compliancedashboard® works



Your dashboard is customized to help you meet your company's benefit plan requirements.



View all of your compliance obligations, organized in a single customized calendar.



Receive email reminders that clearly explain the compliance activities you need to complete.



Record tasks as you complete them and upload company documents as proof.



Generate on-demand reports of your progress for internal management or audit purposes.

