

Making Sense of Compliance

Why compliance matters

Employers that sponsor employee benefit plans are required to comply with regulatory compliance obligations. Fiduciary responsibilities hold the plan sponsor personally liable to perform duties in accordance with the law.

From ERISA to ACA, HIPAA, COBRA, and a long list of additional federal mandates, it can be overwhelming to keep up with compliance tasks. Compliance missteps can lead to **devastating fines & penalties** in the case of a DOL audit or statutory lawsuit initiated by a plan participant.

Compliance | **Health & Welfare**
dashboard®

- ✓ Reduce workload
- ✓ Lower risk
- ✓ Relieve anxiety
- ✓ Increase competency

How ComplianceDashboard® works



Your dashboard is customized to help you meet your company's benefit plan requirements.



View all of your compliance obligations, organized in a single customized calendar.



Receive email reminders that clearly explain the compliance activities you need to complete.



Record tasks as you complete them and upload company documents as proof.



Generate on-demand reports of your progress for internal management or audit purposes.

Neil-Garing
INSURANCE

Neil-Garing Insurance | PO Box 1576 | Glenwood Springs, CO 81602 | 970.945.9111 | www.neilgaring.com