



Compliance dashboard for 401(k) Plans

Improve Internal Controls, Improve Compliance

*Maintaining good internal controls and open communications with service providers is essential for Section 401(k) plan sponsors that wish to avoid errors, according to a senior IRS official. Monika A. Templeman, director of IRS employee plans examinations, explained that **the agency will “redouble” its efforts in 2013 for reviewing internal controls in order to facilitate compliance.** Good internal controls and recordkeeping are important to help a plan sponsor work with the IRS to keep the plan qualified for favorable tax treatment, Templeman said.*

Compliance dashboard can help.

- 1 Specific and tangible controls
- 2 Documented practices and procedures
- 3 Checks and balances
- 4 Proof controls have been implemented

Compliance dashboard, the leading ERISA compliance tool for health and welfare benefits is now available for 401(k) plans. This new module helps employers fulfill their 401(k) compliance obligations by giving them the information they need, when they need it. What's more, its interactive email and follow-up system monitors and documents the completion of each compliance activity, ensuring that important tasks are completed on time.

With laws becoming more complex and DOL audits on the rise, **Compliance dashboard** provides a welcome solution for employers seeking to understand their compliance obligations and minimize their risks.

Want more information?

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compliencedashboard.net